PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Effective on 12/08/2004. Complete if Known ees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/056,312 **Application Number** FEE TRANSMITTAL January 24, 2002 Filing Date for FY 2005 Daryl S. Meredith et al. First Named Inventor Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** I. Hamilton Art Unit 3724 TOTAL AMOUNT OF PAYMENT (\$) 500 Attorney Docket No. TN-1488 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : Deposit Account Deposit Account Number: 02-2548 Deposit Account Name: Black & Decker (U.S.) Inc. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or underpayments of fee(s) Under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card

information and authorization on PTO-2038. **FEE CALCULATION** BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES Small Entity **Small Entity** Small Entity Fees Paid (\$) **Application Type** Fee(\$) Fee(\$) Fee(\$) Fee(\$) Fee (\$) Fee(\$) 200 100 Utility 300 150 500 250 130 200 100 100 50 65 Design Plant 200 100 300 150 160 80 500 250 600 300 Réissue 300 150 Provisional 200 100 0 0 **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 180 Multiple Dependent Claims **Total Claims Extra Claims** Fee(\$) Fee Paid (\$) Fee (\$) Fee Paid (\$) -20 or HP= HP = highest number of total claims paid for, if greater than 20. Indep. Claims **Extra Claims** Fee Paid (\$) Fee(\$) - 3 or HP= HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) Total Sheets Extra Sheets / 50 = ____ (round up to a whole number) x - 100 = Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) \$500 Other (e.g., late filing surcharge): Appeal Brief

SUBMITTED BY				
Signature	H	Registration No. (Attorney/Agent) 38,373	Telephone	410-716-2368
Name (Print/Type)	Adan Ayala, Esq.		Date	November 9, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ØE.		Application Number	10/056,3	312		
TRANSMIT	TAL	Filing Date	January	January 24, 2002		
HOV 10 2005 FORM		First Named Inventor	Daryl S.	Daryl S. Meredith et al.		
\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \		Art Unit	3724	3724		
Total Number of Pages in This Su	ea after initial filing)	Examiner Name	I. Hamilt	I. Hamilton		
Total Number of Pages in This Su	ubmission	Attorney Docket Nun	nber TN-1488	3		
	ENCLO	SURES (check all that a	pply)			
Fee Transmittal Form		☐ Drawing(s)		After Allowance Communication to TC		
Fee Attached	Licensina	Licensing-related Papers		Appeal Communication to Board		
	Petition			of Appeals and Interferences Appeal Communication to TC		
Amendment / Reply				(Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Extension of Time Request	Terminal	Terminal Disclaimer		Other Enclosure(s) (please identify below):		
_	Request	Request for Refund		Return Post Card		
Express Abandonment Requ	iest CD, Num	CD, Number of CD(s)				
☐ Information Disclosure State	ment 🔲 Lar	ndscape Table on CD				
Certified Copy of Priority Document(s)	Remarks		•			
Reply to Missing Parts/						
Incomplete Application						
Reply to Missing Parts under 37 CFR1.52 or 1.	53					
		ADDI ICANIT ATTORN	IEV OR ACENT			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm	Black & Decker	Black & Decker Inc.				
Signature	14	All				
Printed Name	Adan Ayala, Es	Adan Ayala, Esq.				
Date	November 9, 20	Reg. No.		38,373		
	CERTIFICA	TE OF TRANSMISSIC	N/MAILING			
I hereby certify that this corresp Service with sufficient postage Alexandria, VA 22313-1450 on t	as first class mail	in an envelope addresse	USPTO or deposited to: Commission	ted with the United States Postal ner for Patents, P.O. Box 1450,		
Signature	120-					
Typed or printed name Ad	an Ayala, Esq.		Date	November 9, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PE LITHLITY PATENT

B&D No. TN -1488

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOARD OF PATENT APPEALS AND INTERFERENCES

In re application of: Daryl S. Meredith et al.

Serial No.: 10/056,312

Examiner: I. H

I. Hamilton

Filed: January 24, 2002

Group Art Unit: 3724

For: MITER SAW

Assistant Commissioner for Patents Washington, DC 20231

REPLY BRIEF

Adan Ayala

Dear Sir:

This is in response to the Examiner's Answer mailed on September 22, 2005.

As to the Ushiwata/Tsune combination, the Examiner has admitted that Ushiwata discloses all elements called for in Claim 1 except for the second gear being driven by the motor via a belt.

The Examiner relies on Tsune to provide such missing element because "it would have been obvious to provide a belt in Ushiwata as taught by Tsune in order to provide a backlash eliminator assembly, which eliminates backlash between gears."

10056312

UTILITY PATENT B&D No. TN -1488

As discussed in the Appeal Brief, this rejection is improper as the Examiner has failed to find some suggestion or motivation to one of ordinary skill in the art to combine the reference teachings. When called upon to provide such motivation, the Examiner argued that the motivation to provide a belt can be found in Tsune "in order to provide a backlash eliminator assembly, which eliminates backlash between gears." However, Tsune does not teach using a belt to provide a backlash eliminator, as fully explained in the Appeal Brief. Because the belt does not provide the functionality claimed by the Examiner, the alleged motivation to combine is invalid.

In view of such facts, the Examiner then admitted that "the belt and pulley system are not a part of the backlash eliminator." However, the Examiner argued "the belt and pulley are required in the configuration of any apparatus that has the backlash eliminator because of the spatial arrangements and spatial constraints." In other words, the belt and pulley system are needed in all apparatus that have a backlash eliminator.

In response, Applicants/Appellant pointed out that US Patent No. 5,8233,081 ("Tsune '081") disclosed a circular saw with a backlash eliminator without a belt or pulleys. In other words, "any apparatus that has the backlash eliminator" does not require a belt and pulley, as alleged by the Examiner.

In the Examiner's Answer, the Examiner now argues that Tsune '081 "is capable of using a belt and pulley system with the motor in order to drive the second gear 74... Tsune '081 does

UTILITY PATENT B&D No. TN -1488

not disclose how the motor is connected to the second gear 74... The appellant's argument is flawed because the motor is not shown in figure 7 of Tsune '081, and there is no description of how the motor and the second gear 74 are connected. Moreover, it appears from figure 7 of Tsune '081 that the second gear 74 is driven by an assembly that is below the second gear 74, and spaced away from the backlash assembly 65, giving credence to the argument that the motor must be spaced away from the backlash assembly."

Applicants/Appellant readily admit that the motor is spaced away from the backlash assembly and below the second gear. Applicants/Appellant however do not agree that the motor is necessarily connected to gear 74 via a belt.

Instead, Applicants/Appellant submit that the motor may have a motor shaft with one or more intervening gears that mesh with second gear 74, thus transmitting the motor's rotational action to second gear 74. With such arrangement, it is not necessary for a saw with a backlash eliminator to have a belt and pulley system. In other words, the Examiner is incorrect in assuming that "the belt and pulley are required in the configuration of any apparatus that has the backlash eliminator."

Regardless of the above, the Examiner still believes "it would have been obvious to provide a belt in Ushiwata as taught by Tsune in order to provide a backlash eliminator assembly, which eliminates backlash between gears" even though (a) the Tsune belt is not used in a backlash eliminator assembly, as admitted by the Examiner, and (b) not all backlash eliminator

Serial No. 10/056,312

UTILITY PATENT B&D No. TN -1488

assemblies require a belt, as shown by Tsune '081. Applicants/Appellant submit that, since a belt is not used in the Tsune backlash eliminator assembly or necessary for such backlash eliminator assembly to exist in a saw, a person of ordinary skill in the art would not have added the Tsune belt to Ushiwata to provide a backlash eliminator assembly, as alleged by the Examiner. Therefore, the Examiner's rationale for combining Ushiwata and Tsune is invalid.

Because the Examiner has failed to identify a valid motivation to combine Ushiwata and Tsune, no proper *prima facie* case of obviousness can be made. Therefore, Claim 1 is patentable over Ushiwata/Tsune. Accordingly, Applicant/Appellant urges the Board to reverse the Examiner's improper rejection of the claim under Ushiwata/Tsune and to allow such claim.

Respectfully submitted,

Adan Ayala, Reg. No. 38,373

Attorney for Applicants

Phone No. (410) 716-2368